Performance History Audits

1028.1 PURPOSE AND SCOPE
Performance History Audits are collections of data designed to assist supervisors in evaluating the performance of their employees. Performance History Audits can help identify commendable performance as well as early recognition of training needs and other potential issues. While it is understood that the statistical compilation of data may be helpful to supervisors, it cannot account for and must be carefully balanced with the many variables in law enforcement, such as:

- An officer's ability to detect crime
- An officer's work ethic
- An officer's work assignment and shift
- An officer's physical abilities.

1028.2 COMPONENTS OF PERFORMANCE HISTORY AUDITS
Performance History Audit will include the following components:

- Performance indicators
- Data analysis
- Employee review
- Follow-up monitoring

1028.3 PERFORMANCE INDICATORS
Performance indicators represent the categories of employee performance activity that the Chief of Police or the authorized designee of Colorado State University Police Department has determined may be relevant data for the generation and analysis of Performance History Audits. Performance indicators may include, but are not limited to:

(a) The frequency and findings of use-of-force incidents.
(b) Frequency of involvement and conduct during vehicle pursuits.
(c) Frequency and findings of citizen complaints.
(d) Number of commendations, compliments and awards (citizen and Department).
(e) Claims and civil suits related to the employee's actions or alleged actions.
(f) Canine bite incidents.
(g) Internal Affairs investigations.
(h) Frequency and reasons for case rejections by a prosecutor.
(i) Intentional or unintentional firearm discharges (regardless of injury).
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(j) Vehicle collisions.
(k) Missed court appearances.
(l) Documented counseling memos.

1028.4 COMPILATION OF DATA
The Internal Affairs Unit will utilize secure systems and other methods to compile and track performance indicators for each officer during each quarter in order to prepare Performance History Audit Reports.

1028.5 EMPLOYEE NOTIFICATION AND RESPONSE
The Internal Affairs Unit will notify each officer prior to retaining any performance indicator for entry into a Performance History Audit Report. The affected officer may submit a written comment regarding each performance indicator. Any such written comment will be attached to the related performance indicator in such a way as to be readily noticed by supervisors reviewing a Performance History Audit Report.

1028.6 DATA ANALYSIS AND ACTION
Upon receipt, the Division Commander will review each Performance History Audit Report and determine whether it should be provided to an officer's immediate supervisor for further consideration. The officer's immediate supervisor will carefully review the Performance History Audit Report with the officer to assess any potential trends or other issues that may warrant informal counseling, additional training or a recommendation for other action, including discipline. The officer shall date and sign the Performance History Audit Report and may be provided a copy of the report upon request.

If a supervisor determines that an officer's performance warrants action beyond informal counseling, the supervisor shall advise the Division Commander through the chain of command of such recommendation. If the Division Commander concurs with the recommendation of the supervisor, he/she shall take steps to initiate the appropriate action.

If discipline or other adverse action is initiated against an officer as a result of a Performance History Audit, the officer shall be entitled to all rights and process set forth in the Personnel Complaints Policy.

1028.7 CONFIDENTIALITY OF DATA
Information, data and copies of material compiled to develop Performance History Audit Reports shall be considered part of the employee’s personnel file and will not be subject to discovery or release except as provided by law. Access to the data in the system will be governed under the same process as access to an officer's personnel file as outlined in the Personnel Records Policy.
1028.8 RETENTION AND PURGING
Except as incorporated in separate training or disciplinary records, all performance indicators and Performance History Audit Reports shall be purged from the Internal Affairs Unit and all other locations within the Department one year from the date generated. The Office of General Counsel, however, shall retain a copy of Performance History Audit Reports for an additional one-year period as attorney work product.